

# 受益人（申请人）非美国个人身份 声明书

Certificate of Foreign Status of Beneficial Owner (or The Applicant) for United States Tax

(必填) 第一部分 受益人（或申请人）识别

**(Required fields) Part I** Identification of Beneficial Owner (or the applicant)

1、受益人（或申请人）姓名

1. Name of individual who is the beneficial owner (or the applicant)

2、国籍（请勿缩写）

2. Country of citizenship (do not abbreviate)

3、有效地址。

3. Valid address. It could be any address you provided when you applied the credit card.

4、出生日期(MM-DD-YYYY)

4. Date of birth (MM-DD-YYYY)

(必填) 第二部分 对于美国标记的说明

**(Required fields) Part II** Written Explanation Supporting the Claim of Foreign Status

对于存在美国标记（例如，申请时勾选“美国个人”选项、美国居住地址、美国邮件地址或电话号码等）但声明为非美国个人的原因如下：

For the individual with identified U.S. indicia (e.g. Click "U.S. individual" when fill the application form, A current U.S. residence address, U.S. mailing address, current U.S. telephone number etc.), please provide written explanations to support the claim of foreign status:

第三部分 声明

**Part III** Certification

在伪证罪的处罚规定下，本人声明已完整填写本表，并保证所填内容真实有效。

我进一步在伪证罪的处罚规定下声明：

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete.

I further certify under penalties of perjury that:

- 我是本表相连收入的受益人（或申请人），或通过本表证明我为非美国金融机构的个人所有者。
- I am the individual that is the beneficial owner (or the applicant) of all the income to which this form relates or am using this form to document myself as an individual that is an owner of a foreign financial institution.
- 第一部分第一条所填列个人为非美国个人。  
(注：美国个人指1)美国公民；2)美国居民，包括美国绿卡持有者或在美国长期逗留的外国人。在美国长期逗留的外国人需满足以下条件：当年内呆满31天以上，并在三年内累计超过183天。累计方式是当年每一天算一天，去年每一天算三分之一天，前年每一天算六分之一天。)
- The person named on line 1 of this form is not a U.S. individual.  
(Note: a U.S. individual means 1) U.S. citizen; or 2) U.S. resident, includes U.S. Green Card holder or a U.S. resident for tax purposes. A U.S. resident for tax purposes must be physically present in the United States for at least: 31 days during the current year, and 183 days during the 3-year period that includes the current year and the 2 years immediately before that, counting: all the days you were present in the current year, and 1/3 of the days you were present in the first year before the current year, and 1/6 of the days you were present in the second year before the current year.)

签名

Sign Here

受益人（或申请人）

Signature of beneficial owner (or the applicant)

签名日期（年-月-日）

Date (YYYY-MM-DD)

客户须知：

1、如客户拒绝根据我行要求提供相关信息及材料，并签署本声明书，我行有权视具体情形限制其特定交易，以及根据监管规定或我行与本国/外国税务机关达成的协议安排，向本国/外国税务机关或其它监管部门报告其相关信息。

2、银行保留对客户信息进行定期重新认证的权利，客户有义务根据我行要求重新提供相关信息及材料。